



Andrew J. Goodman

Owner - New York

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Drawing on more than 30 years of experience, Andrew Goodman litigates complex corporate and commercial cases involving disputes over corporations, partnerships, real estate, fiduciaries, sports and entertainment, securities fraud and stockholder and derivative claims, among others.

Mr. Goodman represents securities broker-dealers in customer and industry arbitrations, regulatory inquiries, disciplinary proceedings and investigations by the SEC, NYSE, FINRA and state agencies. He advises broker-dealers on registration, regulatory, compliance and policy issues. He has served as counsel in corporate and commercial transactions, generally with regulatory issues or arising from venture capital activities of broker-dealer clients.

Mr. Goodman also counsels other attorneys on issues of professional ethics, has been retained as an expert witness in defense of legal malpractice cases, and acts as regular outside ethics counsel to a major national law firm.

Services

- ▶ Commercial Litigation
- ▶ Securities Litigation and Enforcement
- ▶ Broker Dealers and Investment Advisors
- ▶ Privately Held Companies
- ▶ Mergers and Acquisitions
- ▶ Class Actions
- ▶ Professional Liability Litigation
- ▶ Real Estate and Construction Litigation
- ▶ Transnational Litigation
- ▶ Trusts and Estates Litigation
- ▶ Alternative Dispute Resolution

Industries

- ▶ Financial Services
- ▶ Hospitality, Travel and Tourism

- ▶ Sports, Arts and Entertainment

Education

- ▶ New York University School of Law, J.D., 1977
- ▶ New York University, B.A., *magna cum laude*, 1974
 - ▶ Phi Beta Kappa

Admissions

- ▶ New York, 1978
- ▶ U.S. District Court, Southern and Eastern Districts of New York, 1979
- ▶ U.S. Court of Appeals, 2nd Circuit, 1979
- ▶ U.S. District Court, Northern District of Texas, 1987
- ▶ U.S. District Court, Northern District of California, 1988
- ▶ U.S. Court of Appeals, 11th Circuit, 1988
- U.S. Court of Appeals, 9th Circuit, 1989
- U.S. Supreme Court, 1989
- U.S. Court of Appeals, 7th Circuit, 1995
- U.S. Court of Appeals, 6th Circuit, 2003

Professional Recognition

- ▶ Fellow, Litigation Counsel of America, 2008-present
- ▶ Listed in Martindale-Hubbell Bar Register of Preeminent Lawyers for Commercial Litigation, 2010-2011
- ▶ Listed as "Legal Elite" for New York City Litigators, *Avenue Magazine*, 2010-2011
- ▶ Martindale-Hubbell AV/5.0 Preeminent Peer Review Rated

Professional Activities

- ▶ Consultant, Committee of the United States Judicial Conference to Consider Standards for Admission to Practice in the Federal Courts, 1976-1980
- ▶ General Counsel, Westchester County (NY) Business Council, 2002-2007
- ▶ Board Member, New York University Chesler Prelaw Program, 2003-present
- ▶ Member, Amicus Committee, Commission on Social Action, Union of Reform Judaism, 2007-present

Community Activities

- ▶ Chair, Eastern District, Union of Reform Judaism, 2010-present
- ▶ President, Greater New York Council of Union of Reform Judaism, 2008-2010; Board Member, 2002-2010; Regional Vice President, 2004-2008
- ▶ Member, Executive Committee, Board of Trustees, Union of Reform Judaism, 2008-present; Member, Board of Trustees, 2007-present

- ▶ Board Member, Westchester County (NY) United Jewish Appeal Business and Professional Division, 2005-2007
- ▶ President, Scarsdale (NY) Synagogue/Tremont Temple, 2000-2002

Events

- ▶ "Discovery and Motion Practice in Arbitration," 2010 Fall Conference and Induction of Fellows, Litigation Counsel of America, Naples, FL, October 29, 2010
- ▶ "Optimizing the Use of Outside Counsel for Litigation, Investigations and Regulatory Enforcement Proceedings with a Focus on the Fallout from the Ongoing Credit Market Crisis," Greater New York Chapter of the Association of Corporate Counsel, The Cornell Club, New York, NY, July 28, 2009

Publications

- ▶ "Dodd-Frank and New SEC Rulemaking Initiatives: A New Regulatory Landscape for Investment Advisers," Garvey Schubert Barer Legal Update, Winter 2010.